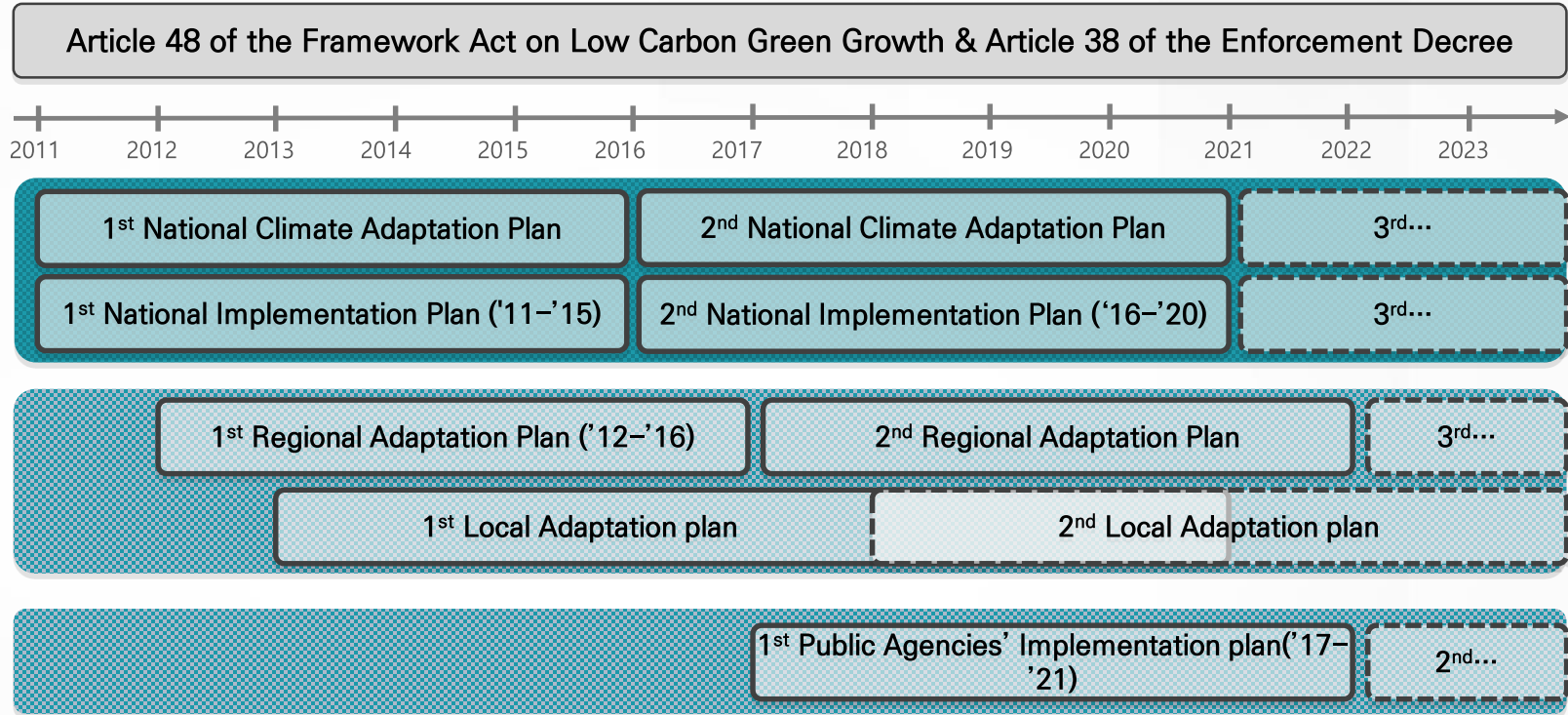


# Developing decision supporting systems for local adaptation planning in Korea

25<sup>th</sup> AIM Workshop  
2019-11-18

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Lee, Dong Kun (SNU, Korea)

# Background



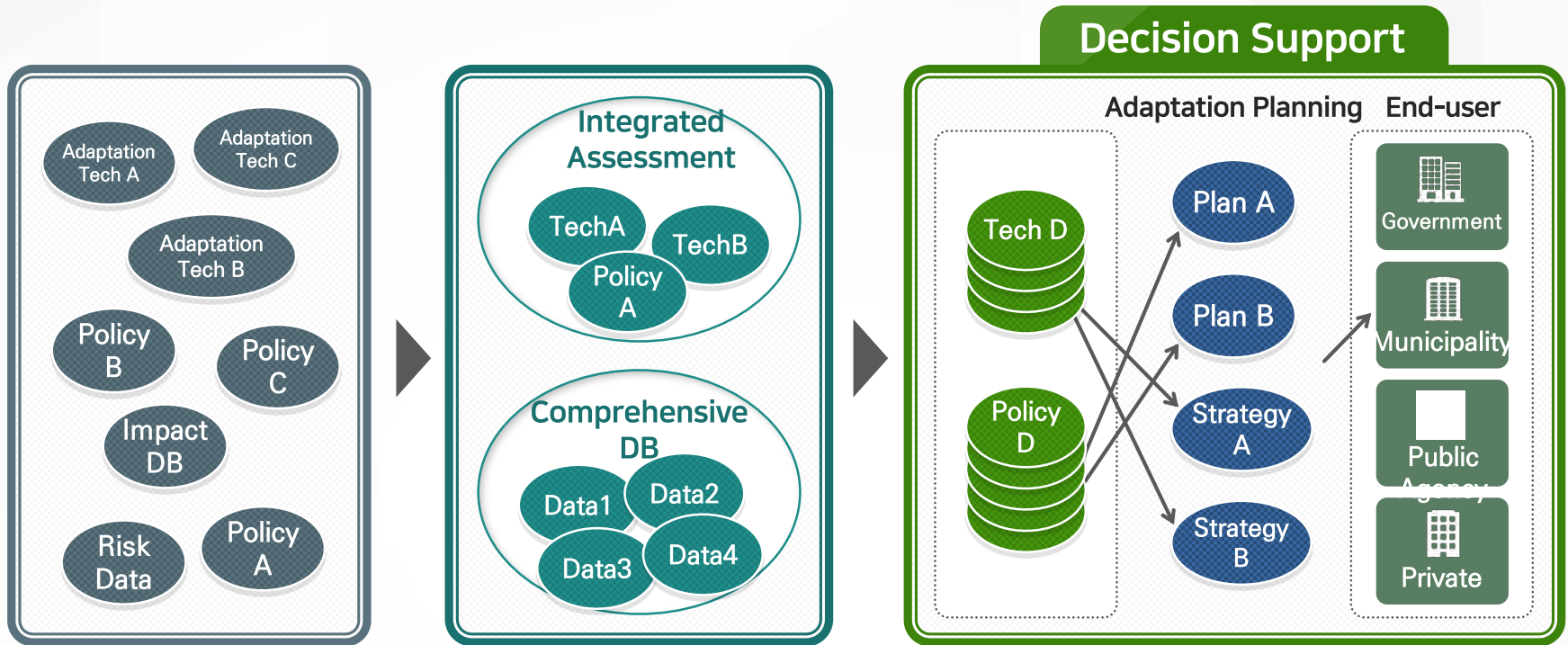
Top-down governance

Lack of differentiation between institution levels

Lack of stakeholder participation

Lack of tools for decision-making

## Project Aim



**Q** | How can we increase the effectiveness of national and local climate change adaptation planning and implementation?

**A** | A decision support “system” that includes both information and tools for adaptation planning is needed for national and local governments

## ➤ Project Scope

Adaptation  
Planning  
Decision  
Support  
System

- **Decision support tools considering different levels of municipalities**

- Relative prioritization of adaptation options using MCDA (Tier 1,2)
- Quantitative analysis of selected adaptation options' effects (Tier 3)

- **Integrated Adaptation-related DB and Inventory**

- Collect decentralized climate adaptation information
- Detailed inventory of adaptation technologies and policies

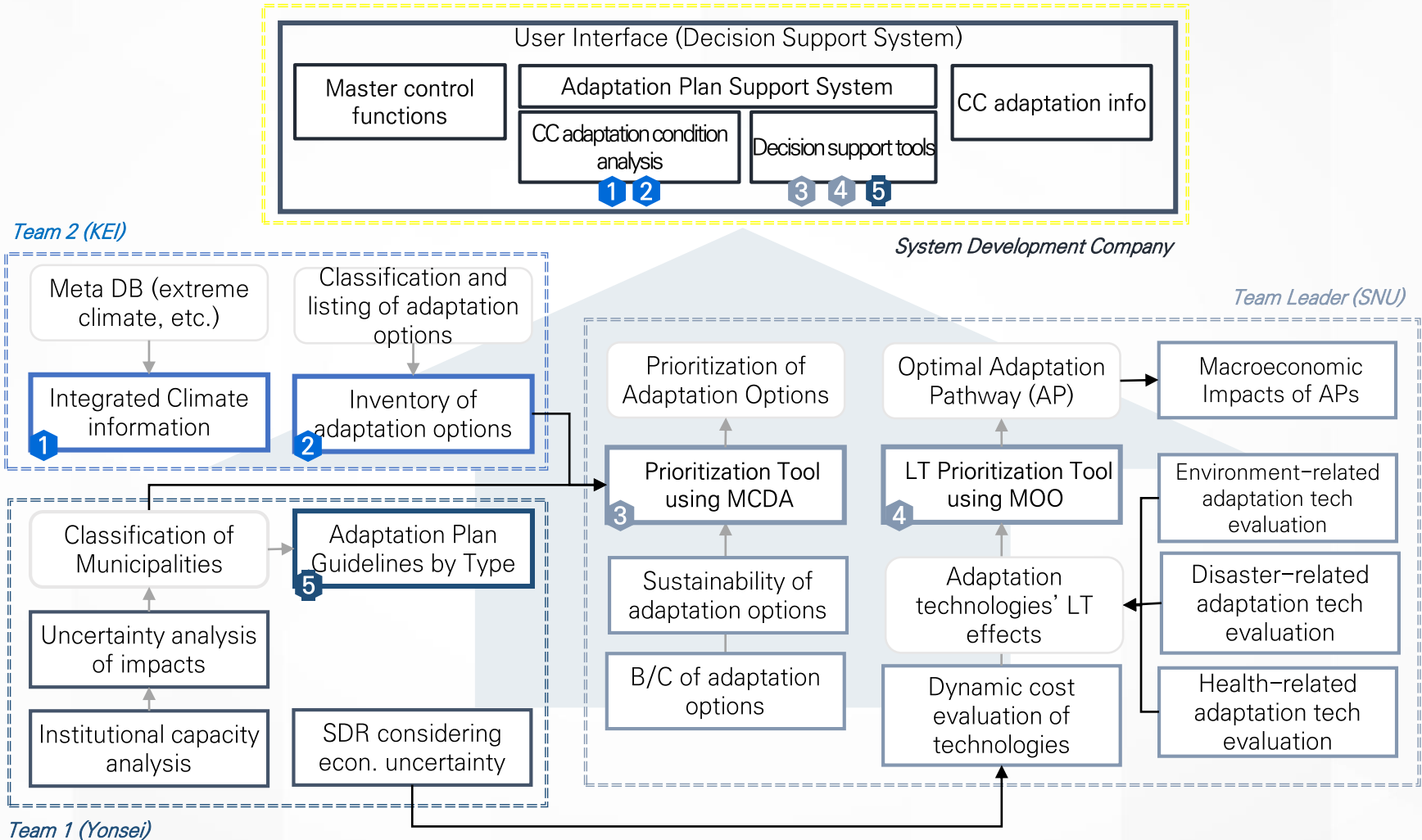
- **Quantitative and scientific evaluation of adaptation measures and decision support methods**

- Modeling effectiveness and dynamic cost evaluations of adaptation options
- Decision support tools using optimization algorithms

- **Decision support considering uncertainties**

- Improving reliability of decision support
- Localizing decision support to better adjust to uncertainties

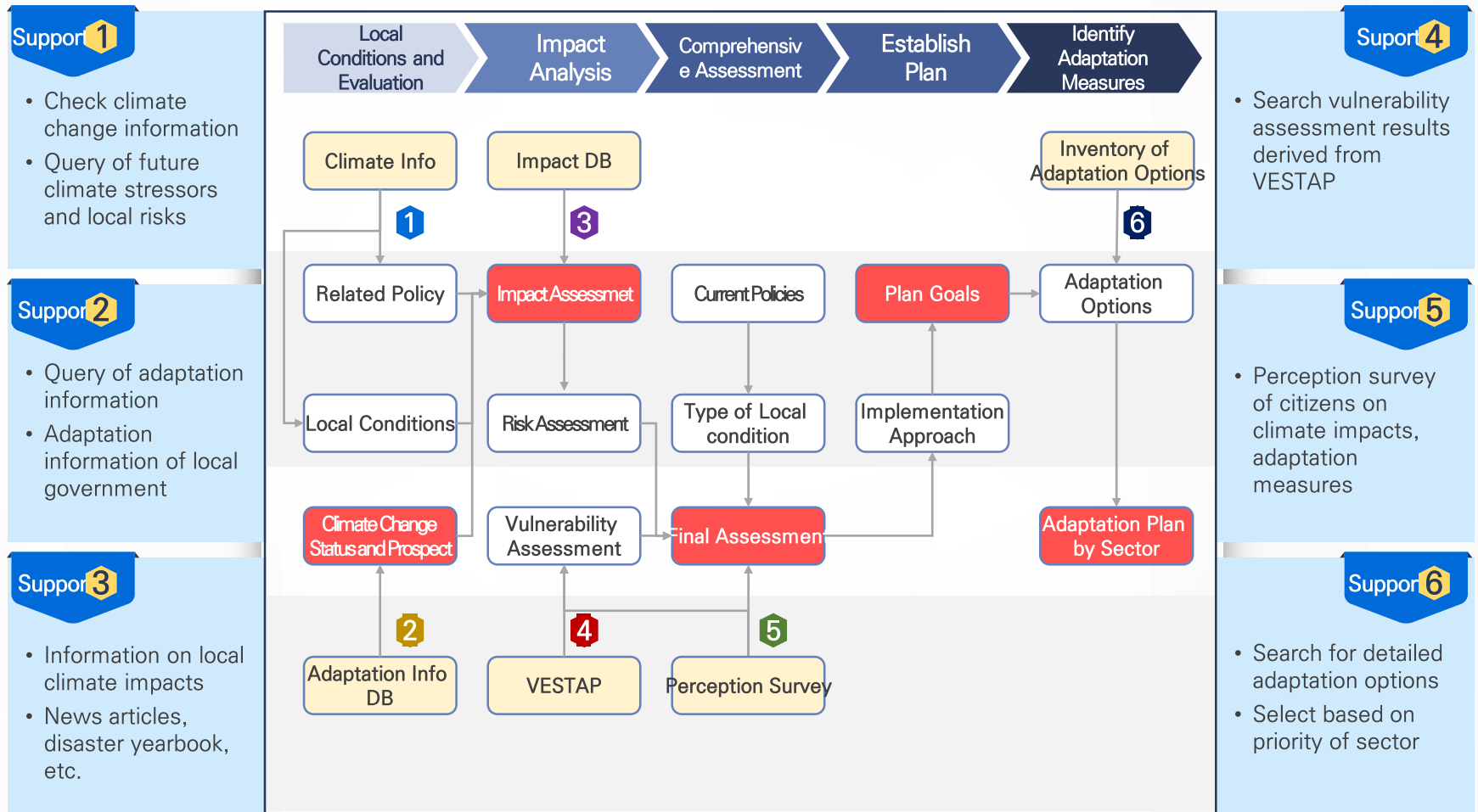
# Project Flow



# Adaptation Planning Decision Support System Architecture

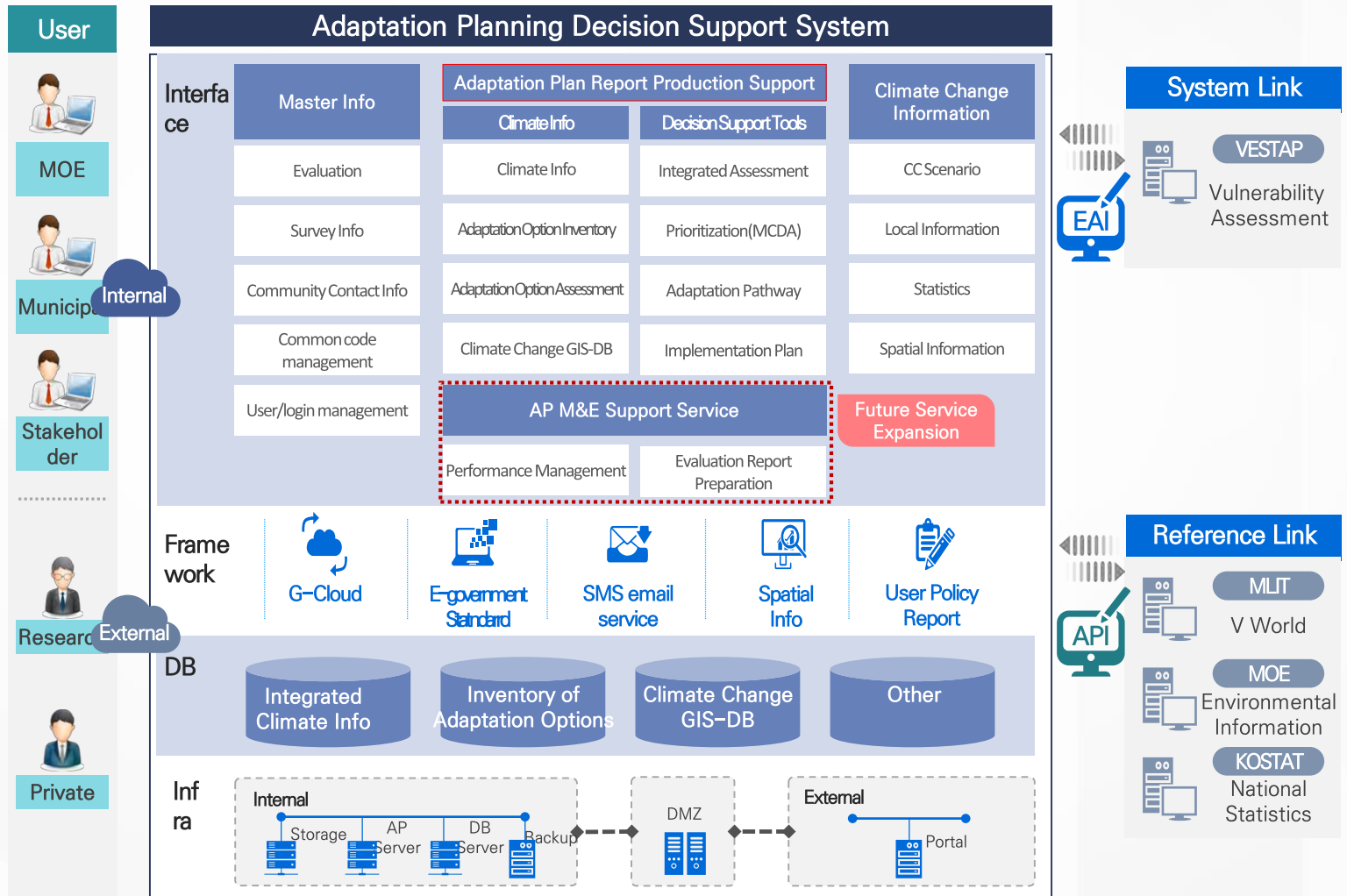
## ❖ System Features

- Decision support tools for each step of Korea's adaptation planning protocol



# Adaptation Planning Decision Support System Architecture

❖ Integrating System with Larger network of Planning Support Tools



# Framework for Integrated Assessment of Adaptation Measures

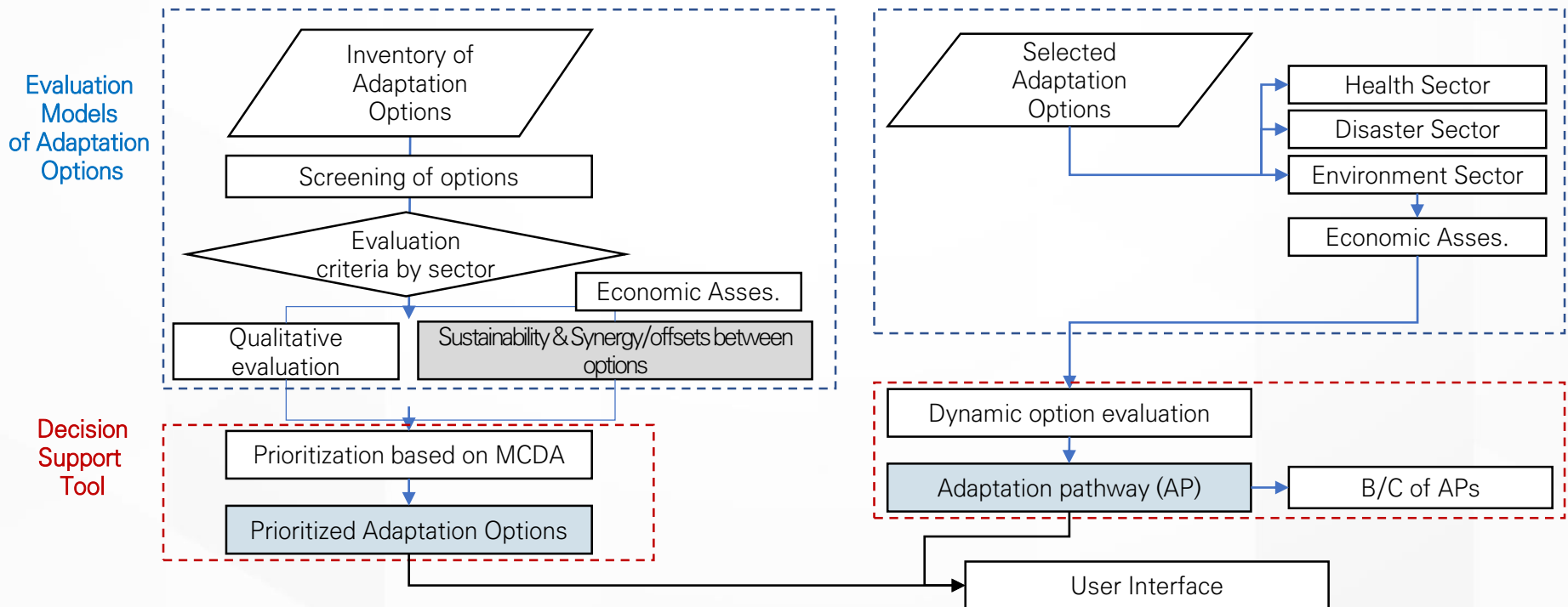
## ❖ Scope and Methods

### ✓ Tier 1,2

- By utilizing TOPSIS among other MCDA methodologies to provide an adjustable prioritization model applicable to all regions and sectors.
- TOPSIS is capable of interpreting the semantics of the results and has fewer rank mismatch problems and consider the correlation between evaluation criteria

### ✓ Tier 3

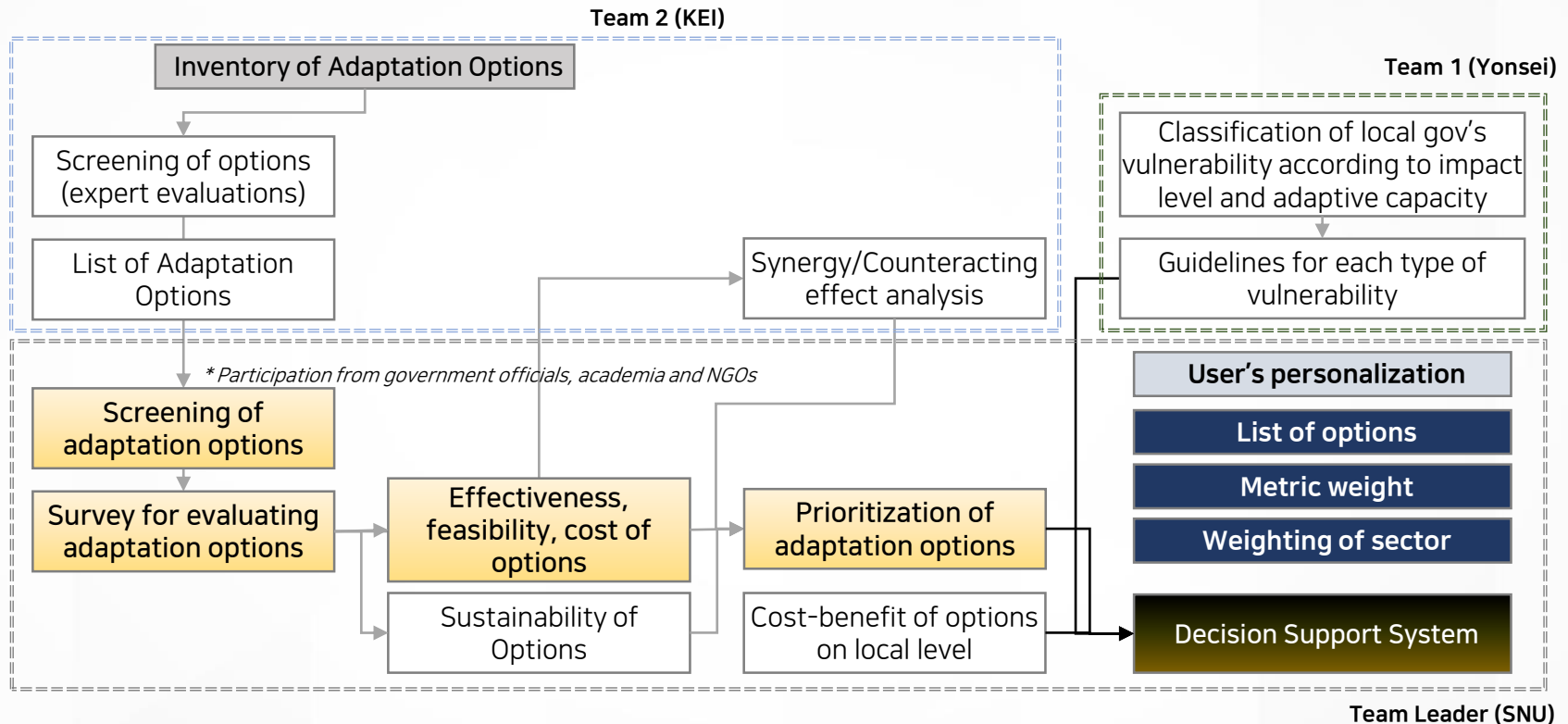
- Establish a model that derives optimal adaptation pathways using multi-objective optimization algorithm that considers multiple sector impacts and constraints across time





# Framework for Integrated Assessment of Adaptation Measures

❖ Detailed Method of Prioritizing Adaptation Options using MCDA (Tier 1,2)



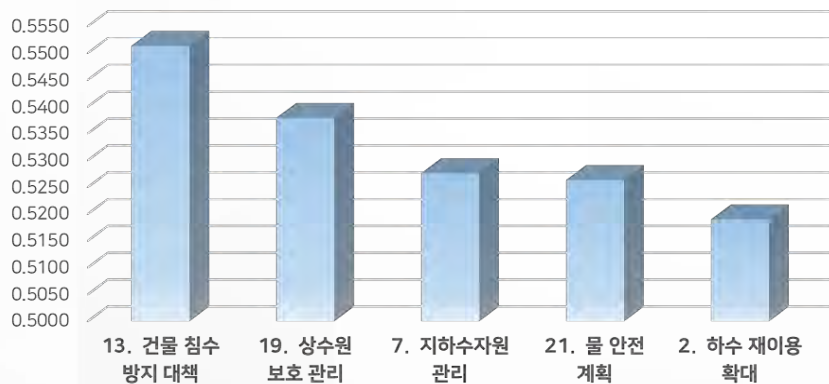
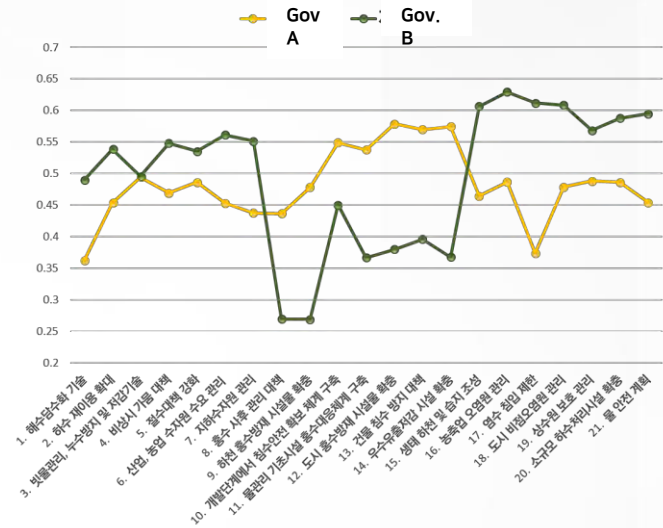
- Tier 1 & 2 prioritization of adaptation options first requires a technical inventory and screening of options, then a evaluation criteria is setup for experts to use to assess options. Apart from traditional evaluation criteria, adaptation options are evaluated on their sustainability and local context specified cost-benefit analysis

# Method for Prioritizing Adaptation Measures (I)

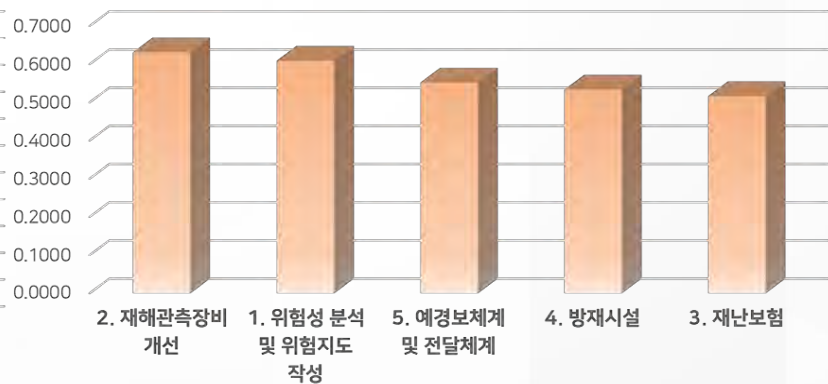
## ❖ Example of Prioritization Results

### Tier 1,2

- Prioritization of each sector is based on evaluation criteria:
  - impact reduction effect, other sector impact reduction effect, carbon reduction effect, non-climate effect, urgency, feasibility, and sustainability
- Priority varies according to the characteristics of local government as shown in the figure on the right
  - Municipality A, located in coastal areas, has a high priority for flood policy, while municipality B, where ecological reserves occupy a large area, has high priority for water quality and aquatic ecosystem policy



< Prioritized Water Sector Adaptation Options >



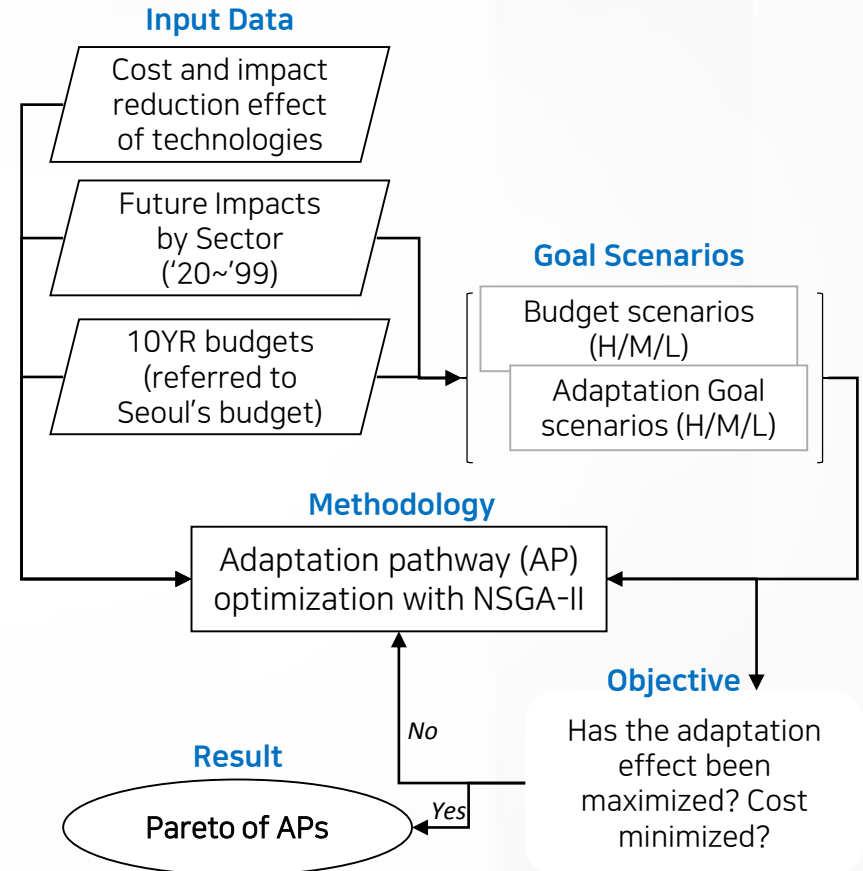
< Prioritized Disaster related Adaptation Options >

# Method for Prioritizing Adaptation Measures (I)

## ❖ Prioritizing Adaptation Options using Adaptation Pathways (Tier 3)

### Tier 3

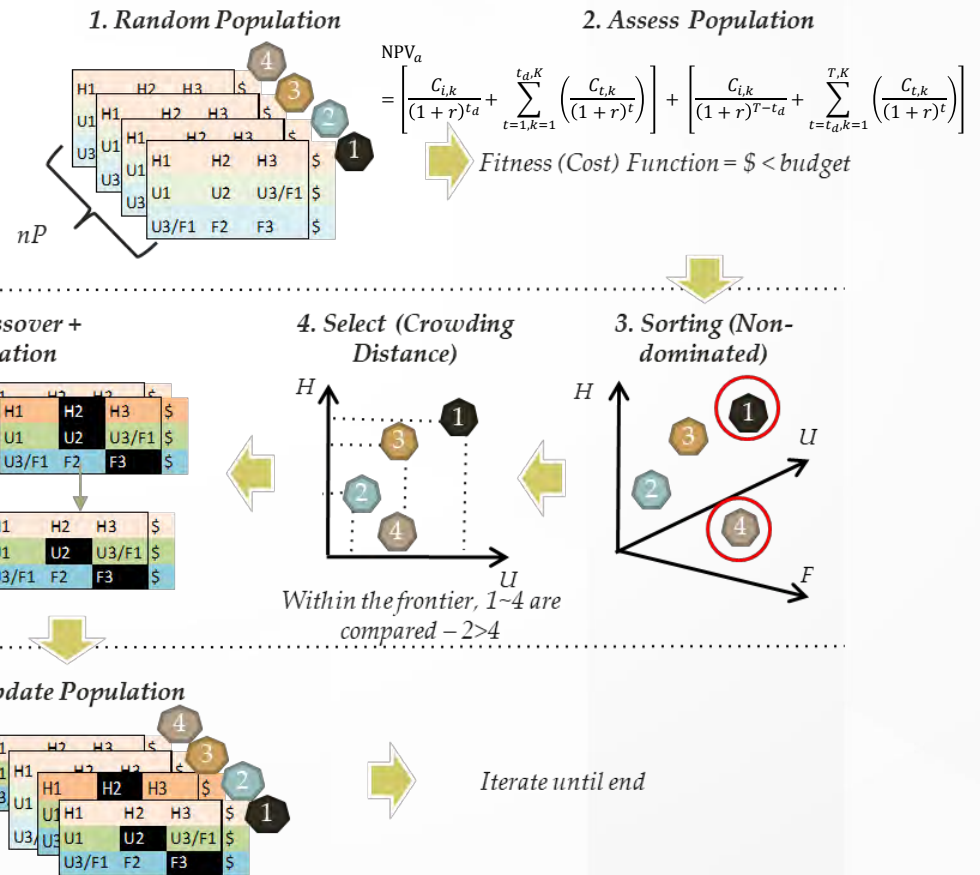
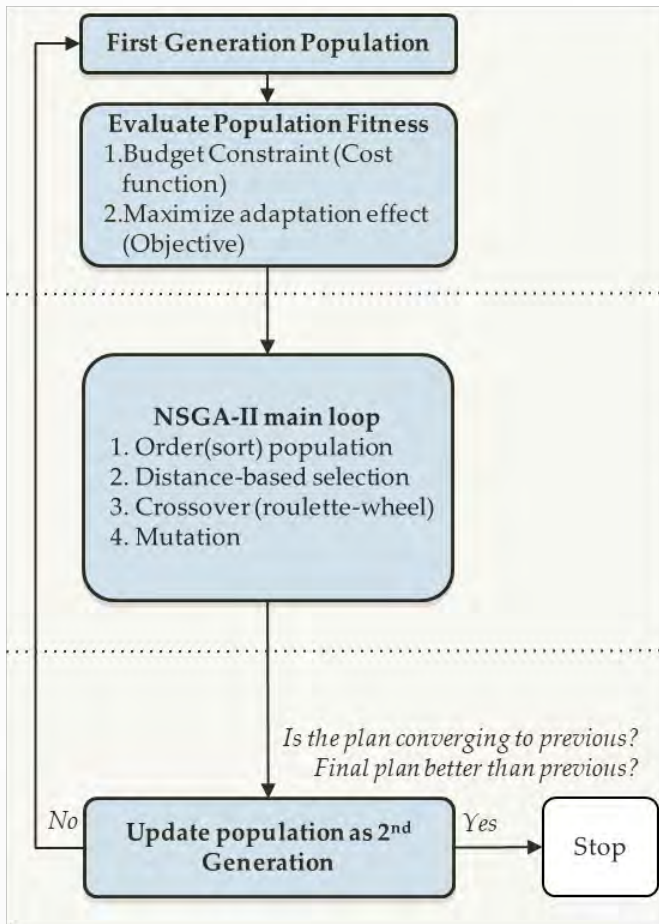
- Optimal adaptation pathways are derived based on the results of the technical evaluation team, impact assessment data and constraint scenarios
- Machine learning based multi-objective optimization algorithms, GA and NSGA-II algorithms, were applied to search for the optimal plans that minimize the cost and maximize the adaptation effect by sector for each 10 year planning periods



# Method for Prioritizing Adaptation Measures (I)

## ❖ Application of Optimization Algorithm to search for Adaptation Pathways (Tier 3)

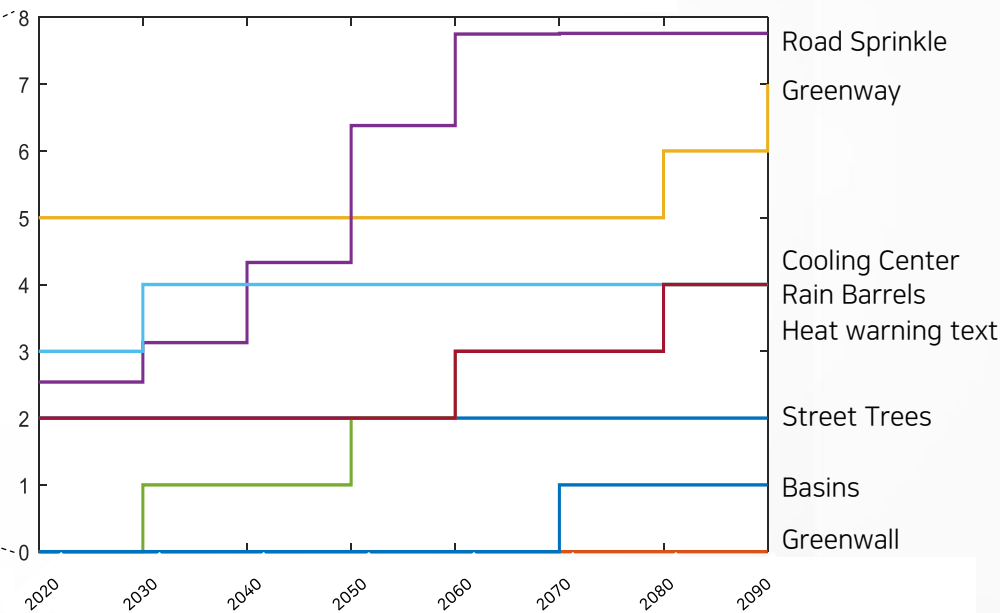
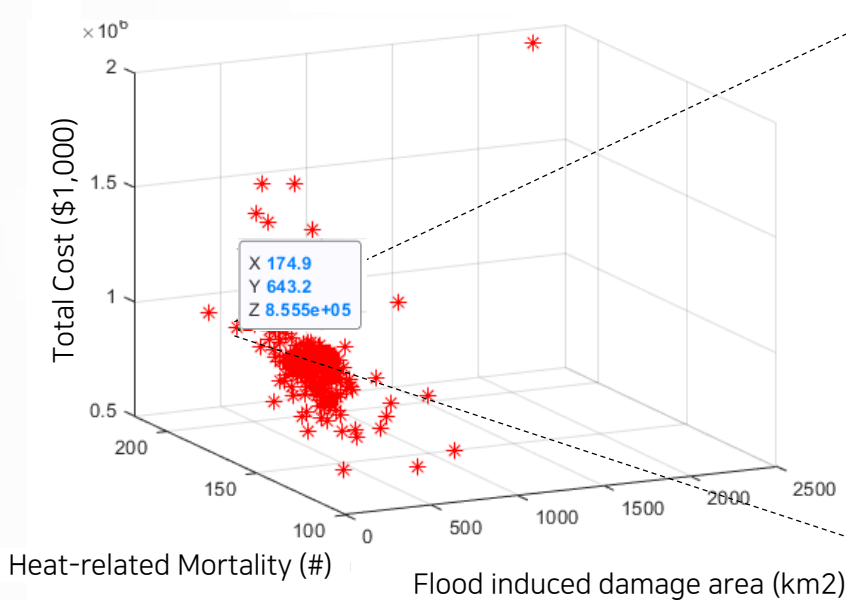
- Machine learning based optimization allows for efficient heuristic search of optimal plans based on set parameters
- Non-dominated Sorting Genetic Algorithm (NSGA-II) disintegrates the multi-objectives to separately but also considering the balance of maximizing the objectives



# Method for Prioritizing Adaptation Measures (II)

## ❖ Simulation Results

- The results of optimizing 100 different adaptation pathways through 1000 iterations for each scenario show various costs and adaptation effects
- Figure 1 shows the total adaptation effects and costs of adaptation pathways that converge from optimization
- Figure 2 shows an example of the implementation scales of technology in a sample adaptation pathway



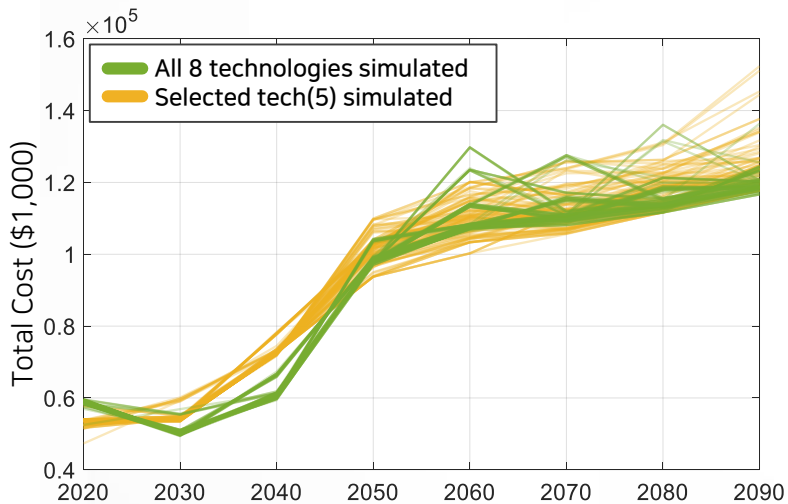
<Figure 1> Convergence of Optimized Adaptation Pathway(AP)s

<Figure 2> Implementation Scale of Adaptation Measures across Time for sample AP

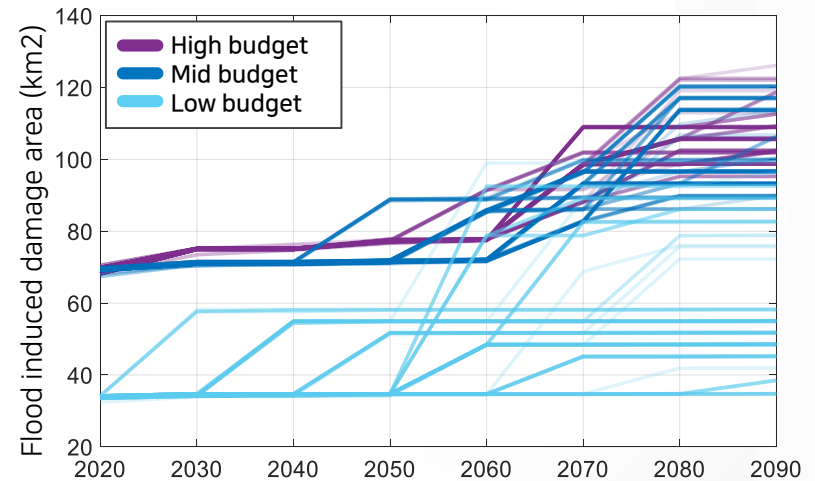
## Method for Prioritizing Adaptation Measures (II)

### ❖ Simulation Results

- <Figure 3> shows the cost differences of the adaptation paths when the adaptive technology is selectively applied
- <Figure 4> shows the difference in the adaptation effect (e.g. flood damage area) over time due to the difference in the timing of technology implementation according different budget constraint scenarios (high/mid/low).



<Figure 3> Total cost of APs with different assortment of technology



<Figure 4> Adaptation effect based on budget constraints

- This model has been developed so that optimal adaptation plans can automatically feedback user preferences – change sector priority of adaptation effect and/or budget constraint limits
- Adaptation effects and costs can be identified by checking the timing and scale of the adaptation measures included in optimized adaptation pathways

## ▶ Local Government Forums

- A series of forums have been held to gather feedback on the decision support system from future users (local officials and experts)
- 1. Confirm the necessity of the decision support system: solve the lack of expertise of government officials (or subcontractor) responsible for creating adaptation plans, reduce the budget for outsourced adaptation planning, etc
- 2. Feedback on the Decision Support System so far:
  - ① Develop "reliable climate information" and "standardized assessment tools" to establish climate change adaptation plans
  - ② A system of automated report creating and submission through the support system is attractive
  - ③ Create opportunities to network with other local government officials - local government forums; This will improve the use of the support system and be an opportunity to share opinions among local officials

❖ Busan city Forum (2019/2/11)



❖ Incheon city Forum (2019/9/24)



❖ Chungcheong Province (2019/10/25)



**Thank you**